

# Managed Compliance Services

SolutionServices

SOS Managed Compliance Services is a suite of compliance services designed to help your organization comply with FERC, NERC, and RRO Reliability Standards. SOS Managed Compliance Services consists of a two-phase approach created to help you reach the level of compliance program reliability you need whether you want SOS to support your existing compliance department or take a more active role.

Managed Compliance Services provides ongoing compliance services at a lower price point and with less internal administrative time commitment than a full time compliance manager. Our program will do the work for you – freeing you to focus on your core business.

## SOS Managed Compliance services has two goals:

- Reduction of your compliance risk through three elements:
  - A Gap Analysis identifying any shortcomings in your current compliance program
  - A system that connects the Standards currently applicable to your organization to your supporting documentation
  - Assistance with filings or other assignments
- Reduction of your compliance program costs through transparent and effective management of your compliance resources and an annual internal compliance program audit to identify areas of improvement

SOS has created two phases for set up and implementation of Managed Compliance Services.



## Phase 1 – Gap Analysis

- Perform a systematic review and examination of compliance records and activities in order to identify gaps between your current program and the applicable NERC and RRO Reliability Standards

## Phase 2 – Ongoing Managed Compliance Services

- Support your organization in all compliance efforts – helping you do the work, including:
  - External compliance audit support
  - Self-certification support and preparation
  - RSAW preparation assistance and auditable history tracking
  - Spot-checking support and preparation
  - Compliance investigations support
  - Risk-based compliance monitoring assistance
  - Internal controls development and maintenance
  - Self-reporting support and preparation
  - Periodic data submittal support
  - Exception reporting support
  - Mitigation plans
- Perform an annual third-party internal audit to update your program and identify areas for improvement

**SOS**  
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